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Homoclinic Orbits for a Class of Nonlinear Difference Equations

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Abstract. By using the critical point theory, the existence of a nontrivial homoclinic orbit for a class of nonlinear difference equations is obtained. The proof is based on the Mountain Pass Lemma in combination with periodic approximations. Our conditions on the nonlinear term are rather relaxed and we improve some existing results in the literature.

Key Words and Phrases: homoclinic orbits, nonlinear, difference equations, discrete variational theory.

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1. Introduction

Below N, Z and R denote the sets of all natural numbers, integers and real numbers, respectively. For any $a, b \in \mathbb{Z}$, define $\mathbb{Z}(a) = \{a, a + 1, \dots\}, \mathbb{Z}(a, b) = \{a, a + 1, \dots, b\}$ when a < b.

Difference equations have attracted the interest of many researchers in the past twenty years since they provided a natural description of several discrete models. Such discrete models are often investigated in various fields of science and technology such as computer science, economics, neural networks, ecology, cybernetics, biological systems, optimal control, and population dynamics. These studies cover many of the branches of difference equations, such as stability, attractivity, periodicity, homoclinic orbits, oscillation, and boundary value problems, see [2, 4, 5, 6, 7, 8, 9, 10, 21, 24, 29, 30, 31, 32] and the references therein. For the general background of difference equations, one can refer to [1].

The present paper considers the following forward and backward difference equation

$$\Delta\left(p_n(\Delta u_{n-1})^{\delta}\right) - q_n u_n^{\delta} + f(n, u_{n+1}, u_n, u_{n-1}) = 0, \ n \in \mathbf{Z},\tag{1}$$

where Δ is the forward difference operator $\Delta u_n = u_{n+1} - u_n$, $\Delta^2 u_n = \Delta(\Delta u_n)$, $\delta > 0$ is the ratio of odd positive integers, $\{p_n\}$ and $\{q_n\}$ are real sequences, $f \in C(\mathbf{Z} \times \mathbf{R}^3, \mathbf{R})$, T is a given positive integer, $p_{n+T} = p_n > 0$, $q_{n+T} = q_n > 0$, $f(n+T, v_1, v_2, v_3) = f(n, v_1, v_2, v_3)$.

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Eq. (1) can be considered as a discrete analogue of the following second-order nonlinear functional differential equation

$$(p(t)\varphi(u'))' + q(t)u(t) + f(t, u(t+1), u(t), u(t-1)) = 0, \ t \in \mathbf{R}.$$
(2)

Eq. (2) includes the following equation

$$(p(t)\varphi(u'))' + f(t,u(t)) = 0, t \in \mathbf{R},$$

which has arisen in the study of fluid dynamics, combustion theory, gas diffusion through porous media, thermal self-ignition of a chemically active mixture of gases in a vessel, catalysis theory, chemically reacting systems, and adiabatic reactor [3, 11, 22]. Equations similar in structure to (2) arise in the study of homoclinic orbits [14, 16, 17, 18] of functional differential equations.

When $f(n, u_{n+1}, u_n, u_{n-1}) = 0$, $n \in \mathbb{Z}(0)$, (1) reduces to the following equation

$$\Delta\left(p_n(\Delta u_{n-1})^{\delta}\right) + q_n u_n^{\delta} = 0,\tag{3}$$

which has been studied in [21] for results on oscillation, asymptotic behavior and the existence of positive solutions.

In 2008, Cai and Yu [2] have obtained some sufficient conditions for the existence of periodic solutions of the following nonlinear difference equation:

$$\Delta\left(p_n(\Delta u_{n-1})^{\delta}\right) + q_n u_n^{\delta} = f(n, u_n), \ n \in \mathbf{Z}.$$
(4)

It is well known that critical point theory is an effective approach to study the behavior of differential equations [13, 14, 15, 16, 17, 18, 27, 28]. Only since 2003, critical point theory has been employed to establish sufficient conditions for the existence of periodic solutions for second order difference equations [19, 20]. Along this direction, Ma and Guo [25] (without periodicity assumption) and [26] (with periodicity assumption) applied variational methods to prove the existence of homoclinic orbits for the special form of (1) (with $\delta = 1$). Chen and Wang [9] studied the existence of infinitely many homoclinic orbits of the following equation:

$$\Delta\left(p_n(\Delta u_{n-1})^{\delta}\right) - q_n u_n^{\delta} + f(n, u_n) = 0, \ n \in \mathbf{Z},\tag{5}$$

by using critical point theory. A crucial role that the Ambrosetti-Rabinowitz condition plays is to ensure the boundedness of Palais-Smale sequences. This is very crucial in applying the critical point theory.

However, it seems that the results on homoclinic orbits of (1) are scarce in the literature. Since (1) contains both advance and retardation, there are very few manuscripts dealing with this subject, the traditional ways of establishing the functional in [2, 10, 19, 20, 23, 31, 32] are inapplicable to our case. The main purpose of this paper is to develop a new approach to above problem without the classical Ambrosetti-Rabinowitz

condition. In particular, our conditions on the nonlinear term are rather relaxed and we improve some existing results in the known literature. In fact, one can see the following Remarks 2 and 3 for details. The motivation for the present work stems from the recent papers [4, 9, 18].

Let

$$\underline{p} = \min_{n \in \mathbf{Z}(1,T)} \{p_n\}, \ \bar{p} = \max_{n \in \mathbf{Z}(1,T)} \{p_n\}, \ \underline{q} = \min_{n \in \mathbf{Z}(1,T)} \{q_n\}, \ \bar{q} = \max_{n \in \mathbf{Z}(1,T)} \{q_n\}.$$

Our main results are as follows.

Theorem 1. Suppose that the following hypotheses are satisfied: (F_1) there exists a functional $F(n, v_1, v_2) \in C^1(\mathbf{Z} \times \mathbf{R}^2, \mathbf{R})$ with $F(n + T, v_1, v_2) =$ $F(n, v_1, v_2)$ and it satisfies

$$\frac{\partial F(n-1,v_2,v_3)}{\partial v_2} + \frac{\partial F(n,v_1,v_2)}{\partial v_2} = f(n,v_1,v_2,v_3);$$

(F₂) there exist positive constants ϱ and $a < \frac{q}{2(\delta+1)} \left(\frac{\kappa_1}{\kappa_2}\right)^{\delta+1}$ such that $|F(n, v_1, v_2)| \le a \left(|v_1|^{\delta+1} + |v_2|^{\delta+1}\right)$ for all $n \in \mathbb{Z}$ and $\sqrt{v_1^2 + v_2^2} \le \varrho$;

 $(F_3) \text{ there exist constants } \rho, c > \frac{1}{2(\delta+1)} \left(\frac{\kappa_2}{\kappa_1}\right)^{\delta+1} \left(2^{\delta+1}\bar{p} + \bar{q}\right) \text{ and } b \text{ such that}$ $F(n, v_1, v_2) \ge c \left(|v_1|^{\delta+1} + |v_2|^{\delta+1}\right) + b \text{ for all } n \in \mathbb{Z} \text{ and } \sqrt{v_1^2 + v_2^2} \ge \rho;$ $(F_4) \frac{\partial F(n, v_1, v_2)}{\partial v_1} v_1 + \frac{\partial F(n, v_1, v_2)}{\partial v_2} v_2 - (\delta+1)F(n, v_1, v_2) > 0, \text{ for all } (n, v_1, v_2) \in \mathbb{Z} \times \mathbb{R}^2 \setminus \{(0, 0)\};$ $(F_5) \frac{\partial F(n, v_1, v_2)}{\partial v_1} v_1 + \frac{\partial F(n, v_1, v_2)}{\partial v_2} v_2 - (\delta+1)F(n, v_1, v_2) \to +\infty \text{ as } \sqrt{v_1^2 + v_2^2} \to +\infty.$ Then (1) has a nontrivial homoclinic orbit.

Remark 1. By (F_3) , it is easy to see that there exists a constant $\zeta > 0$ such that

$$(F'_3) F(n, v_1, v_2) \ge c \left(|v_1|^{\delta+1} + |v_2|^{\delta+1} \right) + b - \zeta, \ \forall (n, v_1, v_2) \in \mathbf{Z} \times \mathbf{R}^2.$$

As a matter of fact, letting

$$\zeta = \max\left\{ \left| F(n, v_1, v_2) - c\left(|v_1|^{\delta + 1} + |v_2|^{\delta + 1} \right) - b \right| : n \in \mathbf{Z}, \sqrt{v_1^2 + v_2^2} \le \rho \right\},\$$

we can easily get the desired result.

Remark 2. Theorem 1 extends Theorem 1.1 in [26] which is the special case of our Theorem 1 by letting $\delta = 1$.

Remark 3. In many studies (see e.g. [2, 10, 19, 20, 25, 26]) of second order difference equations, the following classical Ambrosetti-Rabinowitz condition is assumed. (\mathbf{AR}) there exists a constant $\beta > 2$ such that

 $0 < \beta F(n, u) \le u f(n, u)$ for all $n \in \mathbb{Z}$ and $u \in \mathbb{R} \setminus \{0\}$.

Note that $(F_3) - (F_5)$ are much weaker than (\mathbf{AR}) . Thus our result improves the existing ones.

Theorem 2. Suppose that $(F_1) - (F_5)$ and the following hypothesis are satisfied: (F_6) $p_{-n} = p_n$, $q_{-n} = q_n$, $F(-n, v_1, v_2) = F(n, v_1, v_2)$. Then (1) has a nontrivial even homoclinic orbit.

For the basic knowledge of variational methods, the reader is referred to [17, 27, 28].

2. Preliminaries

In this section, we present some definitions and lemmas that will be used in the proof of our results.

Let S be the set of sequences $u = (\cdots, u_{-n}, \cdots, u_{-1}, u_0, u_1, \cdots, u_n, \cdots) = \{u_n\}_{n=-\infty}^{+\infty}$, that is

$$S = \{\{u_n\} | u_n \in \mathbf{R}, \ n \in \mathbf{Z}\}.$$

For any $u, v \in S$, $a, b \in \mathbf{R}$, au + bv is defined by

$$au + bv = \{au_n + bv_n\}_{n = -\infty}^{+\infty}$$

Then S is a vector space.

For any given positive integers m and T, E_m is defined as a subspace of S by

$$E_m = \{ u \in S | u_{n+2mT} = u_n, \ \forall n \in \mathbf{Z} \}.$$

Clearly, E_m is isomorphic to \mathbf{R}^{2mT} . E_m can be equipped with the inner product

$$\langle u, v \rangle = \sum_{j=-mT}^{mT-1} u_j v_j, \ \forall u, v \in E_m,$$
(6)

by which the norm $\|\cdot\|$ can be induced by

$$||u|| = \left(\sum_{j=-mT}^{mT-1} u_j^2\right)^{\frac{1}{2}}, \ \forall u \in E_m.$$
(7)

It is obvious that E_m with the inner product (6) is a finite dimensional Hilbert space and linearly homeomorphic to \mathbf{R}^{2mT} .

On the other hand, we define the norm $\|\cdot\|_s$ on E_m as follows:

$$||u||_{s} = \left(\sum_{j=-mT}^{mT-1} |u_{j}|^{s}\right)^{\frac{1}{s}},$$
(8)

for all $u \in E_m$ and s > 1. Denote by l^s the set of all functions $u : \mathbf{Z} \to \mathbf{R}$ such that

$$\|u\|_s^s = \sum_{j \in \mathbf{Z}} |u_j|^s < +\infty.$$

Since $||u||_s$ and $||u||_2$ are equivalent, there exist constants κ_1 , κ_2 such that $\kappa_2 \ge \kappa_1 > 0$, and

$$\kappa_1 \|u\|_2 \le \|u\|_s \le \kappa_2 \|u\|_2, \ \forall u \in E_m.$$
 (9)

Clearly, $||u|| = ||u||_2$. For all $u \in E_m$, define the functional J on E_m as follows:

$$J(u) = \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} p_n \left(\Delta u_{n-1}\right)^{\delta+1} + \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} q_n u_n^{\delta+1} - \sum_{n=-mT}^{mT-1} F(n, u_{n+1}, u_n).$$
(10)

Clearly, $J \in C^1(E_m, \mathbf{R})$ and for any $u = \{u_n\}_{n \in \mathbf{Z}} \in E_m$, by the periodicity of $\{u_n\}_{n \in \mathbf{Z}}$, we can compute the partial derivative as

$$\frac{\partial J}{\partial u_n} = -\Delta \left(p_n (\Delta u_{n-1})^{\delta} \right) + q_n u_n^{\delta} - f(n, u_{n+1}, u_n, u_{n-1}), \ \forall n \in \mathbf{Z}(-mT, mT-1).$$
(11)

Thus, u is a critical point of J on E_m if and only if

$$\Delta\left(p_n(\Delta u_{n-1})^{\delta}\right) - q_n u_n^{\delta} + f(n, u_{n+1}, u_n, u_{n-1}) = 0, \ \forall n \in \mathbf{Z}(-mT, mT-1).$$

Due to the periodicity of $u = \{u_n\}_{n \in \mathbb{Z}} \in E_m$ and $f(n, v_1, v_2, v_3)$ in the first variable n, we reduce the existence of periodic solutions of (1) to the existence of critical points of J on E_m . That is, the functional J is just the variational framework of (1).

In what follows, we define a norm $\|\cdot\|_{\infty}$ in E_m by

$$||u||_{\infty} = \max_{j \in \mathbf{Z}(-mT, mT-1)} |u_j|, \ \forall u \in E_m.$$

Let *E* be a real Banach space, $J \in C^1(E, \mathbf{R})$, i.e., *J* is a continuously Fréchetdifferentiable functional defined on *E*. *J* is said to satisfy the Palais-Smale condition (P.S. condition for short) if any sequence $\{u_n\} \subset E$ for which $\{J(u_n)\}$ is bounded and $J'(u_n) \to 0 \ (n \to \infty)$ possesses a convergent subsequence in *E*.

Let B_{ρ} denote the open ball in E about 0 of radius ρ and let ∂B_{ρ} denote its boundary.

Lemma 1. (Mountain Pass Lemma [28]). Let E be a real Banach space and $J \in C^1(E, \mathbf{R})$ satisfy the P.S. condition. If J(0) = 0 and (J_1) there exist constants ρ , $\alpha > 0$ such that $J|_{\partial B_{\rho}} \ge \alpha$, and

 (J_2) there exists $e \in E \setminus B_\rho$ such that $J(e) \leq 0$, then J possesses a critical value $c \geq \alpha$ given by

$$c = \inf_{g \in \Gamma} \max_{s \in [0,1]} J(g(s)), \tag{12}$$

where

$$\Gamma = \{ g \in C([0,1], E) | g(0) = 0, \ g(1) = e \}.$$
(13)

Lemma 2. The following inequality is true:

$$\frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} p_n \left(\Delta u_{n-1}\right)^{\delta+1} \le \frac{\bar{p}}{\delta+1} \kappa_2^{\delta+1} 2^{\delta+1} \|u\|^{\delta+1}.$$
 (14)

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$$\begin{aligned} Proof. \ \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} p_n \left(\Delta u_{n-1}\right)^{\delta+1} &\leq \frac{\bar{p}}{\delta+1} \sum_{n=-mT}^{mT-1} |\Delta u_n|^{\delta+1} \\ &= \frac{\bar{p}}{\delta+1} \left[\left(\sum_{n=-mT}^{mT-1} |\Delta u_n|^{\delta+1} \right)^{\frac{1}{\delta+1}} \right]^{\delta+1} \\ &\leq \frac{\bar{p}}{\delta+1} \left[\kappa_2 \left(\sum_{n=-mT}^{mT-1} |\Delta u_n|^2 \right)^{\frac{1}{2}} \right]^{\delta+1} \\ &\leq \frac{\bar{p}}{\delta+1} \kappa_2^{\delta+1} \left[\sum_{n=-mT}^{mT-1} 2 \left(u_{n+1}^2 + u_n^2 \right) \right]^{\frac{\delta+1}{2}} \\ &= \frac{\bar{p}}{\delta+1} \kappa_2^{\delta+1} 2^{\delta+1} ||u||^{\delta+1}. \end{aligned}$$

3. Proof of theorems

In this section, we prove our main results by using the critical point method.

Lemma 3. Suppose that $(F_1) - (F_5)$ are satisfied. Then J satisfies the P.S. condition.

Proof. Assume that $\{u^{(i)}\}_{i \in \mathbb{N}}$ in E_m is a sequence such that $\{J(u^{(i)})\}_{i \in \mathbb{N}}$ is bounded. Then there exists a constant K > 0 such that $-K \leq J(u^{(i)})$. By (14) and (F'_3) , we have

$$\begin{split} -K &\leq J\left(u^{(i)}\right) \leq \frac{\bar{p}}{\delta+1} \kappa_{2}^{\delta+1} 2^{\delta+1} \left\| u^{(i)} \right\|^{\delta+1} + \frac{\bar{q}}{\delta+1} \left[\left(\sum_{n=-mT}^{mT-1} \left| u_{n}^{(i)} \right|^{\delta+1} \right)^{\frac{1}{\delta+1}} \right]^{\delta+1} \\ &- \sum_{n=-mT}^{mT-1} \left[c\left(\left| u_{n+1}^{(i)} \right|^{\delta+1} + \left| u_{n}^{(i)} \right|^{\delta+1} \right) + b - \zeta \right] \\ &\leq \left(\frac{\bar{p}}{\delta+1} \kappa_{2}^{\delta+1} 2^{\delta+1} + \frac{\bar{q}}{\delta+1} \kappa_{2}^{\delta+1} - 2c\kappa_{1}^{\delta+1} \right) \left\| u^{(i)} \right\|^{\delta+1} + 2mT\left(\zeta - b\right) \end{split}$$

Therefore,

$$\left(2c\kappa_{1}^{\delta+1} - \frac{\bar{p}}{\delta+1}\kappa_{2}^{\delta+1}2^{\delta+1} - \frac{\bar{q}}{\delta+1}\kappa_{2}^{\delta+1}\right) \left\| u^{(i)} \right\|^{\delta+1} \le 2mT\left(\zeta - b\right) + K.$$
(15)

Since $c > \frac{1}{2(\delta+1)} \left(\frac{\kappa_2}{\kappa_1}\right)^{\delta+1} \left(2^{\delta+1}\bar{p}+\bar{q}\right)$, (15) implies that $\left\{u^{(i)}\right\}_{i\in\mathbf{N}}$ is bounded in E_m . Thus, $\left\{u^{(i)}\right\}_{i\in\mathbf{N}}$ possesses a convergent subsequence in E_m . The desired result follows.

Lemma 4. Suppose that $(F_1) - (F_5)$ are satisfied. Then for any given positive integer m, (1) possesses a 2mT-periodic solution $u^{(m)} \in E_m$.

Proof. In our case, it is clear that J(0) = 0. By Lemma 3, J satisfies the P.S. condition. By (F_2) , we have

$$\begin{split} J(u) &\geq \frac{\underline{p}}{\delta+1} \sum_{n=-mT}^{mT-1} |\Delta u_n|^{\delta+1} + \frac{\underline{q}}{\delta+1} \sum_{n=-mT}^{mT-1} |u_n|^{\delta+1} \\ &-a \sum_{n=-mT}^{mT-1} \left(|u_{n+1}|^{\delta+1} + |u_n|^{\delta+1} \right) \\ &\geq \frac{\underline{q}}{\delta+1} \kappa_1^{\delta+1} \|u\|^{\delta+1} - 2a\kappa_2^{\delta+1} \|u\|^{\delta+1} \\ &= \left(\frac{\underline{q}}{\delta+1} \kappa_1^{\delta+1} - 2a\kappa_2^{\delta+1} \right) \|u\|^{\delta+1}. \end{split}$$

Taking $\alpha = ($

$$J(u)|_{\partial B_{\varrho}} \ge \alpha > 0,$$

which implies that J satisfies the condition (J_1) of the Mountain Pass Lemma.

Next, we shall verify the condition (J_2) .

There exists a sufficiently large number $\varepsilon > \max\{\varrho,\rho\}$ such that

$$\left(2c\kappa_1^{\delta+1} - \frac{\bar{p}}{\delta+1}\kappa_2^{\delta+1}2^{\delta+1} - \frac{\bar{q}}{\delta+1}\kappa_2^{\delta+1}\right)\varepsilon^{\delta+1} \ge |b|.$$
(16)

Let $e \in E_m$ and

$$e_n = \begin{cases} \varepsilon, & \text{if } n = 0, \\ 0, & \text{if } n \in \{j \in \mathbf{Z} : -mT \le j \le mT - 1 \text{ and } j \ne 0\}, \end{cases}$$
$$e_{n+1} = \begin{cases} \varepsilon, & \text{if } n = 0, \\ 0, & \text{if } n \in \{j \in \mathbf{Z} : -mT \le j \le mT - 1 \text{ and } j \ne 0\}. \end{cases}$$

Then

$$F(n, e_{n+1}, e_n) = \begin{cases} F(0, \varepsilon, \varepsilon), & \text{if } n = 0, \\ 0, & \text{if } n \in \{j \in \mathbf{Z} : -mT \le j \le mT - 1 \text{ and } j \neq 0\}. \end{cases}$$

With (16) and (F_3) , we have

$$J(e) = \frac{1}{\delta + 1} \sum_{n = -mT}^{mT-1} p_n \left(\Delta e_{n-1}\right)^{\delta + 1} + \frac{1}{\delta + 1} \sum_{n = -mT}^{mT-1} q_n e_n^{\delta + 1} - \sum_{n = -mT}^{mT-1} F\left(n, e_{n+1}, e_n\right)$$
$$\leq \frac{\bar{p}}{\delta + 1} \kappa_2^{\delta + 1} 2^{\delta + 1} \|e\|^{\delta + 1} + \frac{\bar{q}}{\delta + 1} \kappa_2^{\delta + 1} \|e\|^{\delta + 1} - 2c\kappa_1^{\delta + 1} \|e\|^{\delta + 1} - b$$

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$$= -\left(2c\kappa_{1}^{\delta+1} - \frac{\bar{p}}{\delta+1}\kappa_{2}^{\delta+1}2^{\delta+1} - \frac{\bar{q}}{\delta+1}\kappa_{2}^{\delta+1}\right)\varepsilon^{\delta+1} - b \le 0.$$
(17)

All the assumptions of the Mountain Pass Lemma have been verified. Consequently, J possesses a critical value c_m given by (12) and (13) with $E = E_m$ and $\Gamma = \Gamma_m$, where $\Gamma_m = \{g_m \in C([0, 1], E_m) | g_m(0) = 0, g_m(1) = e, e \in E_m \setminus B_{\varepsilon}\}$. Let $u^{(m)}$ denote the corresponding critical point of J on E_m . Note that $||u^{(m)}|| \neq 0$ since $c_m > 0$.

Lemma 5. Suppose that $(F_1) - (F_5)$ are satisfied. Then there exist positive constants ρ and η independent of m such that

$$\varrho \le \left\| u^{(m)} \right\|_{\infty} \le \eta. \tag{18}$$

Proof. The continuity of $F(0, v_1, v_2)$ with respect to the second and third variables implies that there exists a constant $\tau > 0$ such that $|F(0, v_1, v_2)| \leq \tau$ for $\sqrt{v_1^2 + v_2^2} \leq \rho$. It is clear that

$$J\left(u^{(m)}\right) \leq \max_{0 \leq s \leq 1} \left\{ \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} \left| p_n \left(\Delta(se)_{n-1}\right)^{\delta+1} + q_n(se)_n^{\delta+1} - \sum_{n=-mT}^{mT-1} F\left(n, (se)_{n+1}, (se)_n\right) \right\} \\ \leq \left(\frac{\bar{p}}{\delta+1} \kappa_2^{\delta+1} 2^{\delta+1} + \frac{\bar{q}}{\delta+1} \kappa_2^{\delta+1}\right) \|e\|^{\delta+1} + \tau \\ = \left(\frac{\bar{p}}{\delta+1} \kappa_2^{\delta+1} 2^{\delta+1} + \frac{\bar{q}}{\delta+1} \kappa_2^{\delta+1}\right) \varepsilon^{\delta+1} + \tau.$$

Let $\xi = \left(\frac{\bar{p}}{\delta+1}\kappa_2^{\delta+1}2^{\delta+1} + \frac{\bar{q}}{\delta+1}\kappa_2^{\delta+1}\right)\varepsilon^{\delta+1} + \tau$. Then we have $J\left(u^{(m)}\right) \leq \xi$, which is independent of m. From (10) and (11), we have

$$\begin{split} J\left(u^{(m)}\right) &= \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n-1, u_n^{(m)}, u_{n-1}^{(m)})}{\partial v_2} u_n^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} u_n^{(m)} \right] \\ &- \sum_{n=-mT}^{mT-1} F(n, u_{n+1}^{(m)}, u_n^{(m)}) \\ &= \frac{1}{\delta+1} \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_1} u_{n+1}^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} u_n^{(m)} \right] \\ &- \sum_{n=-mT}^{mT-1} F(n, u_{n+1}^{(m)}, u_n^{(m)}) \le \xi. \end{split}$$

By
$$(F_4)$$
 and (F_5) , there exists a constant $\eta > 0$ such that

$$\frac{1}{\delta+1} \left(\frac{\partial F(n,v_1,v_2)}{\partial v_1} v_1 + \frac{\partial F(n,v_1,v_2)}{\partial v_2} v_2 \right) - F(n,v_1,v_2) > \xi, \text{ for all } n \in \mathbf{Z} \text{ and } \sqrt{v_1^2 + v_2^2} \ge \eta,$$

which implies that $|u_n^{(m)}| \leq \eta$ for all $n \in \mathbb{Z}$, that is $||u^{(m)}||_{\infty} \leq \eta$. From the definition of J, we have

$$0 = \left\langle J'(u^{(m)}), u^{(m)} \right\rangle \ge \underline{q} \sum_{n=-mT}^{mT-1} \left| u_n^{(m)} \right|^{\delta+1} \\ - \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n-1, u_n^{(m)}, u_{n-1}^{(m)})}{\partial v_2} u_n^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} u_n^{(m)} \right] \\ \ge \underline{q} \kappa_1^{\delta+1} \| u^{(m)} \|^{\delta+1} - \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_1} u_{n+1}^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} u_n^{(m)} \right].$$

Therefore, combined with (F_2) , we get

$$\begin{split} \underline{q} \kappa_{1}^{\delta+1} \| u^{(m)} \|^{\delta+1} &\leq \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} u_{n+1}^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} u_{n}^{(m)} \right] \\ &\leq \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \| u^{(m)} \|_{\delta+1} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \| u^{(m)} \|_{\delta+1} \\ &\leq \kappa_{2} \| u^{(m)} \| \left\{ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right\}^{\frac{\delta}{\delta}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta}{\delta}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right\}^{\frac{\delta}{\delta}} \\ &+ \left\{ \sum_{n=-mT}^{mT-1} \left[\sum_{n=-mT}^{$$

That is

$$\frac{\underline{q}\kappa_1^{\delta+1}}{\kappa_2}\|u^{(m)}\|^{\delta} \leq \left\{\sum_{n=-mT}^{mT-1}\left[\frac{\partial F(n,u_{n+1}^{(m)},u_n^{(m)})}{\partial v_1}\right]^{\frac{\delta+1}{\delta}}\right\}^{\frac{\delta}{\delta+1}} +$$

$$+\left\{\sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2}\right]^{\frac{\delta+1}{\delta}}\right\}^{\frac{\delta}{\delta+1}}.$$

Thus

$$\frac{\underline{q}^{\frac{\delta+1}{\delta}}\kappa_{1}^{\frac{(\delta+1)^{2}}{\delta}}}{\kappa_{2}^{\frac{\delta+1}{\delta}}}\|u^{(m)}\|^{\delta+1} \\
\leq \left\{ \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \right\}^{(19)}$$

Combined with (F_2) , we get

$$\begin{split} & \underline{q}^{\delta+1}\kappa_{1}^{\frac{(\delta+1)^{2}}{\delta}} \|u^{(m)}\|^{\delta+1} \\ & \leq \left\{ \left\{ \sum_{n=-mT}^{mT-1} \left[(\delta+1)a \left| u_{n+1}^{(m)} \right|^{\delta} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \sum_{n=-mT}^{mT-1} \left[(\delta+1)a \left| u_{n}^{(m)} \right|^{\delta} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \right\}^{\frac{\delta+1}{\delta}} \\ & \leq 2^{\frac{\delta+1}{\delta}} [a(\delta+1)]^{\frac{\delta+1}{\delta}} \kappa_{2}^{\delta+1} \|u^{(m)}\|^{\delta+1}. \end{split}$$

Thus, we have $u^{(m)} = 0$. But this contradicts $||u^{(m)}|| \neq 0$, which shows that

$$\|u^{(m)}\|_{\infty} \ge \varrho,$$

and the proof of Lemma 5 is finished.

Proof of Theorem 1. In the following, we shall give the existence of a nontrivial homoclinic orbit.

Consider the sequence $\left\{u_n^{(m)}\right\}_{n \in \mathbb{Z}}$ of 2mT-periodic solutions found in Lemma 4. First, by (18), for any $m \in \mathbb{N}$, there exists a constant $n_m \in \mathbb{Z}$ independent of m such that

$$\left|u_{n_m}^{(m)}\right| \ge \varrho. \tag{20}$$

Since p_n , q_n and $f(n, v_1, v_2, v_3)$ are all *T*-periodic in n, $\left\{u_{n+jT}^{(m)}\right\}$ ($\forall j \in \mathbf{N}$) is also 2mT-periodic solution of (1). Hence, making such shifts, we can assume that $n_m \in \mathbf{Z}(0, T-1)$ in (20). Moreover, passing to a subsequence of ms, we can even assume that $n_m = n_0$ is independent of m.

Next, we extract a subsequence, still denoted by $u^{(m)}$, such that

$$u_n^{(m)} \to u_n, \ m \to \infty, \ \forall n \in \mathbf{Z}$$

Inequality (20) implies that $|u_{n_0}| \ge \xi$ and, hence, $u = \{u_n\}$ is a nonzero sequence. Moreover,

$$\Delta \left(p_n (\Delta u_{n-1})^{\delta} \right) - q_n u_n^{\delta} + f(n, u_{n+1}, u_n, u_{n-1})$$

=
$$\lim_{n \to \infty} \left[\Delta \left(p_n \left(\Delta \left(u_{n-1}^{(m)} \right) \right)^{\delta} \right) - q_n \left(u_n^{(m)} \right)^{\delta} + f \left(n, u_{n+1}^{(m)}, u_n^{(m)}, u_{n-1}^{(m)} \right) \right] = 0.$$

So $u = \{u_n\}$ is a solution of (1). Finally, we show that $u \in l^{\delta+1}$. For $u_m \in E_m$, let

$$P_m = \left\{ n \in \mathbf{Z} : \left| u_n^{(m)} \right| < \frac{\sqrt{2}}{2} \varrho, -mT \le n \le mT - 1 \right\},$$
$$Q_m = \left\{ n \in \mathbf{Z} : \left| u_n^{(m)} \right| \ge \frac{\sqrt{2}}{2} \varrho, -mT \le n \le mT - 1 \right\}.$$

Since $F(n, v_1, v_2) \in C^1(\mathbf{Z} \times \mathbf{R}^2, \mathbf{R})$, there exist constants $\overline{\xi} > 0, \, \underline{\xi} > 0$ such that

$$\max\left\{\left\{\left\{\sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n,v_1,v_2)}{\partial v_1}\right]^{\frac{\delta+1}{\delta}}\right\}^{\frac{\delta}{\delta+1}} + \left\{\sum_{n=-mT}^{mT-1} \left[\frac{\partial F(n,v_1,v_2)}{\partial v_2}\right]^{\frac{\delta+1}{\delta}}\right\}^{\frac{\delta}{\delta+1}}\right\}^{\frac{\delta}{\delta+1}} : \varrho \le \sqrt{v_1^2 + v_2^2} \le \eta, n \in \mathbf{Z}\right\} \le \bar{\xi},$$
$$\min\left\{\frac{1}{\delta+1} \left[\frac{\partial F(n,v_1,v_2)}{\partial v_1}v_1 + \frac{\partial F(n,v_1,v_2)}{\partial v_2}v_2\right] - -F(n,v_1,v_2) : \varrho \le \sqrt{v_1^2 + v_2^2} \le \eta, n \in \mathbf{Z}\right\} \ge \underline{\xi}.$$

For $n \in Q_m$,

$$\left\{ \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_1} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ \leq \frac{\bar{\xi}}{\underline{\xi}} \left\{ \frac{1}{\delta+1} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_1} u_{n+1}^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_n^{(m)})}{\partial v_2} u_n^{(m)}) \right] - F(n, u_{n+1}^{(m)}, u_n^{(m)}) \right\}.$$

By (19), we have

$$\begin{split} & \underline{\underline{q}}^{\frac{\delta+1}{\delta}} \frac{\kappa_{1}^{\frac{\delta+1}{\delta}}}{\kappa_{2}^{\frac{\delta+1}{\delta}}} \| u^{(m)} \|^{\delta+1} \\ & \leq \left\{ \left\{ \sum_{n \in P_{m}} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \sum_{n \in P_{m}} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \right\}^{\frac{\delta+1}{\delta}} \\ & + \left\{ \left\{ \sum_{n \in Q_{m}} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \sum_{n \in Q_{m}} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \right\}^{\frac{\delta}{\delta}} \\ & \leq \left\{ \left\{ \sum_{n \in P_{m}} \left[(\delta+1)a \left| u_{n+1}^{(m)} \right|^{\delta} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} + \left\{ \sum_{n \in P_{m}} \left[(\delta+1)a \left| u_{n}^{(m)} \right|^{\delta} \right]^{\frac{\delta+1}{\delta}} \right\}^{\frac{\delta}{\delta+1}} \\ & + \frac{\bar{\xi}}{\underline{\xi}} \left\{ \frac{1}{\delta+1} \sum_{n \in Q_{m}} \left[\frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{1}} u_{n+1}^{(m)} + \frac{\partial F(n, u_{n+1}^{(m)}, u_{n}^{(m)})}{\partial v_{2}} u_{n}^{(m)} \right] - F(n, u_{n+1}^{(m)}, u_{n}^{(m)}) \right\} \\ & \leq 2^{\frac{\delta+1}{\delta}} [a(\delta+1)]^{\frac{\delta+1}{\delta}} \kappa_{2}^{\delta+1} \| u^{(m)} \|^{\delta+1} + \frac{\bar{\xi}}{\underline{\xi}}. \end{split}$$

Thus,

$$\left\|u^{(m)}\right\|^{\delta+1} \leq \frac{\bar{\xi}\xi\kappa_2^{\frac{\delta+1}{\delta}}}{\underline{\xi}\left\{\underline{q}^{\frac{\delta+1}{\delta}}\kappa_1^{\frac{(\delta+1)^2}{\delta}} - [2a(\delta+1)]^{\frac{\delta+1}{\delta}}\kappa_2^{\frac{(\delta+1)^2}{\delta}}\right\}}.$$

For any fixed $D \in \mathbf{Z}$ and m large enough, we have

$$\sum_{n=-D}^{D} \left| u_n^{(m)} \right|^{\delta+1} \leq \|u^{(m)}\|^{\delta+1} \leq \frac{\overline{\xi} \xi \kappa_2^{\frac{\delta+1}{\delta}}}{\underline{\xi} \left\{ \underline{q}^{\frac{\delta+1}{\delta}} \kappa_1^{\frac{(\delta+1)^2}{\delta}} - [2a(\delta+1)]^{\frac{\delta+1}{\delta}} \kappa_2^{\frac{(\delta+1)^2}{\delta}} \right\}}.$$

Since $\overline{\xi}$, $\underline{\xi}$, $\underline{\xi}$, \underline{q} , a, δ , κ_1 and κ_2 are constants independent of m, passing to the limit, we have

$$\sum_{n=-D}^{D} |u_n|^{\delta+1} \leq \frac{\bar{\xi}\xi\kappa_2^{\frac{\delta+1}{\delta}}}{\underline{\xi}\left\{\underline{q}^{\frac{\delta+1}{\delta}}\kappa_1^{\frac{(\delta+1)^2}{\delta}} - [2a(\delta+1)]^{\frac{\delta+1}{\delta}}\kappa_2^{\frac{(\delta+1)^2}{\delta}}\right\}}.$$

Due to the arbitrariness of $D, u \in l^{\delta+1}$. Therefore, u satisfies $u_n \to 0$ as $|n| \to \infty$. The existence of a nontrivial homoclinic orbit is obtained.

Proof of Theorem 2. Consider the following boundary problem:

$$\begin{cases} \Delta \left(p_n (\Delta u_{n-1})^{\delta} \right) - q_n u_n^{\delta} + f(n, u_{n+1}, u_n, u_{n-1}) = 0, & n \in \mathbf{Z}(-mT, mT), \\ p_{-mT} = p_{mT} = 0, & q_{-mT} = q_{mT} = 0, \\ p_{-n} = p_n, & q_{-n} = q_n, & n \in \mathbf{Z}(-mT, mT). \end{cases}$$

Let S be the set of sequences $u = (\cdots, u_{-n}, \cdots, u_{-1}, u_0, u_1, \cdots, u_n, \cdots) = \{u_n\}_{n=-\infty}^{+\infty}$, that is

$$S = \{\{u_n\} | u_n \in \mathbf{R}, \ n \in \mathbf{Z}\}.$$

For any $u, v \in S$, $a, b \in \mathbf{R}$, au + bv is defined by

$$au + bv = \{au_n + bv_n\}_{n = -\infty}^{+\infty}.$$

Then S is a vector space.

For any given positive integers m and T, \tilde{E}_m is defined as a subspace of S by

$$\tilde{E}_m = \{ u \in S | u_{-n} = u_n, \ \forall n \in \mathbf{Z} \}.$$

Clearly, \tilde{E}_m is isomorphic to \mathbf{R}^{2mT+1} . \tilde{E}_m can be equipped with the inner product

$$\langle u, v \rangle = \sum_{j=-mT}^{mT} u_j v_j, \ \forall u, v \in \tilde{E}_m,$$

by which the norm $\|\cdot\|$ can be induced by

$$\|u\| = \left(\sum_{j=-mT}^{mT} u_j^2\right)^{\frac{1}{2}}, \ \forall u \in \tilde{E}_m.$$

It is obvious that \tilde{E}_m is a Hilbert space with 2mT + 1-periodicity and linearly homeomorphic to \mathbf{R}^{2mT+1} .

Similarly to the proof of Theorem 1, we can also prove Theorem 2. For simplicity, we omit its proof. \blacksquare

4. Example

In this section, we give an example to illustrate our results.

Example 1. Let

$$f(n, v_1, v_2, v_3) = \begin{cases} \gamma |v_2|^{\delta} \frac{v_2}{|v_2|} \left(\frac{|v_1|^{\delta+1} + |v_2|^{\delta+1}}{|v_1|^{\delta+1} + |v_2|^{\delta+1} + 1} + \frac{|v_2|^{\delta+1} + |v_3|^{\delta+1}}{|v_2|^{\delta+1} + |v_3|^{\delta+1} + 1} \right), & \text{if } v_2 \neq 0, \\ 0, & \text{if } v_2 = 0, \end{cases}$$

and

$$F(n, v_1, v_2) = \frac{\gamma}{\delta + 1} \left[|v_1|^{\delta + 1} + |v_2|^{\delta + 1} - \ln\left(|v_1|^{\delta + 1} + |v_2|^{\delta + 1} + 1 \right) \right],$$

where $\gamma > 2^{\delta+1}\bar{p}+\bar{q}$. It is easy to verify that all the assumptions of Theorem 1 are satisfied. Consequently, a nontrivial homoclinic orbit is obtained.

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